In re Application of: Twardzik et al.

Application No.: 09/932,172

August 17, 2001

## **REMARKS**

PATENT

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By the present amendment, the enclosed Substitute Sheets replace pages 1 through 3 of the Sequence Listing.

The amendments to the written description are fully supported by the application, as filed. Specifically, support for the amendments adding Asp as one of the possibilities for X<sub>3</sub> may be found in the Sequence Listings filed in the applications to which the present application claims priority. These applications are Application Serial Nos. 09/641,587, 09/492,935 and 09/378,567, all pending, and all incorporated by reference into the present invention. In the Sequence Listings filed in 09/492,935 and 09/378,567, SEQ ID NO.: 1 is the native sequence of human TGFa. It can be seen from the SEQ ID NO.: 1 that the amino acid in position #7 is Asp. Therefore, inclusion of Asp as an option for X<sub>3</sub> is supported. Support for the amendment to the specification in formula IV, amending Gln to Asn is also supported by SEQ ID NO.: 1. In SEQ ID NO.: 1, it can be seen that the amino acid in position #6 is Asn. Therefore this amendment has been made to the specification. Similarly, the specification has been amended where it is stated, "wherein T is the native sequence of human TGFα (SEQ ID NO.:1) from amino acid #8 (Cys) to amino acid #44 (Cys)..." However, as can be seen in SEQ ID NO.: 1, in the native sequence of human TGFα amino acid #44 is not Cys, but Glu. The Cys that was referred to is in position #43. Therefore amendment of the position number has been made to the specification to correctly identify the Cys residue. Any other amendments to the written description have been made for clarification. These amendments are the addition of the word "or" between the values of - $NH_2$  and  $R_3$  -  $X_3$  for  $R_1$ , the clarification of  $X_1$  as  $X_{1a}$ ,  $X_{1b}$  or  $X_{1c}$ , the addition of sequence identification numbers and the correction of typographical errors. None of the amendments to the written description add any new matter.

## **CONCLUSION**

This Amendment is submitted in order to clarify the invention. No new matter has been added. The new claims are supported by the specification and claims, as originally filed, as set forth above.

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In view of the foregoing, Applicants respectfully submit that the claims are in condition for allowance. No fee is deemed necessary in connection with the filing of this response. However, if any fee is deemed necessary, the Commissioner is authorized to charge (or apply any credits to) Deposit Account No.: 50-1355. The Examiner is invited to contact Applicant's undersigned representative if there are any questions related to this matter.

Respectfully submitted,

Dated: \_\_\_\_\_ May 10, 2002

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**USPTO Customer Number 28213** 

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## EXHIBIT A VERSION WITH MARKINGS TO SHOW CHANGES

## In the Specification:

Please amend the specification as follows:

[0058] In one embodiment the TGF- $\alpha$  polypeptide, related polypeptide, mimetic or functional fragment is a TGF- $\alpha$  polypeptide as set forth in SEQ ID NO:1, SEQ ID NO:3, or a TGF $\alpha$  mimetic selected from the group consisting of formula I, formula II, formula IV, or formula V, wherein formula I is:

$$R_1-T-R_2 \tag{I}$$

wherein  $R_1$  is -NH<sub>2</sub>, or  $R_1$  is  $R_3$  -  $X_3$ , wherein  $R_3$  is a polyethylene glycol (PEG) attached to the free NH<sub>2</sub> moiety of  $X_3$  (wherein  $X_3$  is Lys [or Arg] or Asp) and having a molecular weight of PEG of from about 2000 daltons to about 10,000 daltons, or one or more of the following seven amino acids from formula [VI]  $\underline{IV}$ , including either L (natural) or D chiral orientations:

-NH<sub>2</sub>-X<sub>1a</sub>-X<sub>1a</sub>-Ser - His - Phe - [Gln] <u>Asn</u> - X<sub>3</sub>- (<u>SEQ ID NO: 7</u>) (IV) wherein  $[X_1]$   $\underline{X}_{1a}$  is independently Val, Gly or Ala and  $X_3$  is Lys [or Arg] or Asp; wherein T is the native sequence of human TGF $\alpha$  (SEQ ID NO. 1) from amino acid residue no. 8 (Cys) to amino acid residue no. [44] <u>43</u> (Cys) consisting of native L amino acids; and wherein R<sub>2</sub> is -COOH or one of more of the following seven amino acids, including either L (natural) or D chiral orientations, from formula V:

- $X_4$ - His -  $X_{1c}$ -  $X_4$ -  $X_5$ -  $X_6$ -  $X_{1c}$ - (SEQ ID NO: 5) (V) wherein  $X_4$  is Glu or Asp, wherein  $X_5$  is Leu or Ile, wherein  $X_6$  is Asp or Glu, and wherein  $[X_1]$   $X_{1c}$  is independently Val, Gly, or Ala.

[0059] The invention provides a peptide having TGF- $\alpha$  biological activity, comprising at least an 11-membered peptide compound of formula II [(SEQ ID NO:4)]:

-NH<sub>2</sub>-  $X_{1a}$ -Cys-His-Ser- $X_{1b}$ - $X_2$ - $X_{1a}$ - $X_{1b}$ - $X_{1a}$ - $X_3$ -Cys COOH (SEQ ID NO:4) (II) wherein [ $X_1$  is]  $X_{1a}$  and  $X_{1b}$  are independently Val, Gly, or Ala, wherein  $X_2$  is Tyr or Phe, wherein  $X_3$  is Arg or Lys, and wherein the two Cys moieties form a disulfide bond to create an

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11-amino-acid functional peptide having a 10 member loop structure. In addition, at least one or more of the following amino acids of formula III [(SEQ ID NO:5)] may be added to the C terminus Cys moiety of formula [I] II [(SEQ ID NO:4)]:

- 
$$X_4$$
 - His -  $X_{1c}$  -  $X_4$  -  $X_5$  -  $X_6$  -  $X_{1c}$  (SEQ ID NO: 5) (III)

wherein X<sub>4</sub> is Glu or Asp, wherein X<sub>5</sub> is Leu or Ile, [and] wherein X<sub>6</sub> is Asp or Glu and wherein X<sub>1c</sub> is Val, Gly or Ala. Preferably, X<sub>1a</sub> is Val, X<sub>1b</sub> is Gly and X<sub>1c</sub> is Ala thereby producing an 11, 12, 13, 14, 15, 16, 17 or 18 amino acid peptide. Preferably, X<sub>2</sub> is Tyr, and X<sub>3</sub> is Arg. Accordingly, in one embodiment the functional peptide of the invention has a sequence: NH<sub>2</sub>-X<sub>1a</sub>-Cys-His-Ser-X<sub>1b</sub>-X<sub>2</sub>-X<sub>1a</sub>-X<sub>1b</sub>-X<sub>1a</sub>-X<sub>3</sub>-Cys-X<sub>4</sub>-His-X<sub>1c</sub>-X<sub>4</sub>-X<sub>5</sub>-X<sub>6</sub>-X<sub>1c</sub>-COOH (SEQ ID NO:6)

[0060] SEQ ID NO: 6 forms a 10 member loop structure with a 7 member tail that can be varied in length. In addition, SEQ ID NO: 6 can form dimmers comprising, for example, a 34-mer peptide. Accordingly, the functional peptide can be from about 10 to 18 amino acids in length (e.g. 10, 11, 12, 13, 14, 15, 16, 17, or 18 amino acids) wherein  $X_{1a}$  is Val,  $X_{1b}$  is Gly,  $X_{1c}$  is Ala and  $X_4$  is [Gly] Glu and may also comprise hetero- or homo-dimers of various TGF- $\alpha$  peptides described herein. Such dimers may have greater or reduced activities as compared to monomers.

[0061] The invention further provides an active TGF- $\alpha$ 57 polypeptide (SEQ ID NO:3), wherein TGF- $\alpha$ 57 is a 57 amino acid polypeptide having the formula VI:

Ser - Leu - Ser - Leu - Pro - Ala - Met - Human TGF $\alpha$  (SEQ ID NO: 3) (VI) Wherein human TGF $\alpha$  is a 50 amino acid polypeptide having a sequence as set forth in SEQ ID NO:1.

[0151] The invention further provides a bifunctional compound that acts as a  $TGF\alpha$  mimetic, comprising a compound of formula III:

Loop peptide N-terminus-linker-cyclic C<sub>4</sub>H<sub>8</sub>N<sub>2</sub>- linker- Loop peptide N-terminus (VII)

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Wherein the linker moiety is designed to link the N-terminus of the Loop peptide to a nitrogen atom of the ring  $C_4H_8N_2$  and wherein the "loop peptide" comprises at least an 11-membered peptide compound of formula II:

NH<sub>2</sub>-  $X_{1a}$ -Cys-His-Ser- $X_{1b}$ - $X_{2}$ - $X_{1a}$ - $X_{1b}$ - $X_{1a}$ - $X_{3}$ -Cys COOH (SEQ ID NO:4) (II) wherein  $X_{1a}$ , and  $X_{1b}$  are independently Val, Gly, or Ala;  $X_{2}$  is Tyr or Phe;  $X_{3}$  is Arg or Lys; and the two Cys moieties are linked via a disulfide bond to form an at least 11-amino acid functional peptide having TGF- $\alpha$  activity. Preferably, at least one or more of the following amino acids are added to the C terminus Cys moiety from formula III, below:

- 
$$X_4$$
 - His -  $X_{1c}$  -  $X_4$  -  $X_5$  -  $X_6$  -  $X_{1c}$  (SEQ ID NO: 5) (III)

wherein  $X_4$  is Glu or Asp, wherein  $X_5$  is Leu or Ile, [and] wherein  $X_6$  is Asp or Glu and wherein  $X_{1c}$  is Val, Gly or Ala. Preferably,  $X_{1a}$  is Val,  $X_{1b}$  is Gly and  $X_{1c}$  is Ala. Preferably the linker group is independently selected from the group consisting of substituted or unsubstituted  $C_{1-6}$  alkoxy, xylenyl, wherein the substitutions are selected from the group consisting of: oxo, epoxyl, hydroxyl, chloryl, bromyl, fluoryl, and amino. Preferably,  $X_2$  is Tyr, and  $X_3$  is Arg. Most preferably, the functional peptide is 18 amino acids in length wherein  $X_{1a}$  is Val,  $X_{1b}$  is Gly,  $X_{1c}$  is Ala and  $X_4$  is [Gly] Glu.